

04-13-01

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PTO/SB/05 (11-00)

Please type a plus sign (+) inside box → ☐

Approved for use through 10/31/2002, OMB 0651-0032

Patent and Trademark office: U.S. DEPARTMENT OF COMMERCE

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04/11/01
jc961 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional application under 37 C.F.R. § 1.53(b))

Attorney Docket No.	E-1800
First Inventor or Application Identifier	Carrender, et al.
Title	System and Method for Controlling Remote Devices
Express Mail Label No.	EJ200815160US

11036 U.S. PTO
09/833465
04/11/01

APPLICATION ELEMENTS : See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Program (Appendix)
2. <input checked="" type="checkbox"/> Applicant claims small entity status.	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3. <input checked="" type="checkbox"/> Specification [Total Pages 13]	a. <input type="checkbox"/> Computer Readable Form (CRF)
(preferred arrangement set forth below)	b. Specification Sequence Listing on:
- Descriptive title of the Invention	i. <input type="checkbox"/> CD-ROM of CD-R (2 copies); or
- Cross Reference to Related Applications	ii. <input type="checkbox"/> paper
- Statement Regarding Fed sponsored R & D	c. <input type="checkbox"/> Statements verifying identity of above copies
- Reference to Microfiche Appendix	
- Background of the Invention	
- Brief Summary of the Invention	
- Brief Description of the Drawings (if filed)	
- Detailed Description	
- Claim(s)	
- Abstract of the Disclosure	
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 3]	
5. Oath or Declaration [Total Pages 4]	
a. <input checked="" type="checkbox"/> Newly executed (original or copy)	
b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d))	
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).	
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	

ACCOMPANYING APPLICATION PARTS

9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))
10. <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney
11. <input type="checkbox"/> English Translation Document (if applicable)
12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations
13. <input type="checkbox"/> Preliminary Amendment
14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. <input type="checkbox"/> Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

<input type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-in-part (CIP)	of prior application No:
Prior application information: Examiner:			Group/Art Unit:

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)	or	<input checked="" type="checkbox"/> Correspondence address below
Name	Stephen R. May		
Address	Intellectual Property Services, Battelle Memorial Institute, Pacific Northwest Division, P.O Box 999		
City	Richland	State	WA
Country	USA	Telephone	(509) 375-2387
		Fax	(509) 375- 4487

Name (Print/Type)	Stephen R. May	Registration No. (Attorney/Agent)	29,255
Signature	<i>Stephen R. May</i>	Date	April 11, 2001

SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, and DC 20231.


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 Patent and Trademark U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL For FY 2001 Patent fees are subject to annual revision.		Complete If Known	
		Application Number	not yet assigned
		Filing Date	herewith
		First Named Inventor	Carrender et al.
		Examiner Name	not yet assigned
		Group/Art Unit	not yet assigned
		Attorney Docket No.	E-1800
TOTAL AMOUNT OF PAYMENT		(\$ 570.00)	

METHOD OF PAYMENT (check one) 1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: <u>02-1275</u> Deposit Account Name: <u>Battelle Memorial Institute - PND</u> <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status 2. Payment Enclosed: <input type="checkbox"/> check <input type="checkbox"/> Money Order <input type="checkbox"/> Other		FEE CALCULATION (continued) 3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee</th> <th>Fee</th> <th>Fee</th> <th>Fee</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> <tr> <th>Code (\$)</th> <th>Code (\$)</th> <th></th> <th></th> <th></th> <th></th> <th></th> <th></th> </tr> </thead> <tbody> <tr> <td>105</td> <td>130</td> <td>205</td> <td>65</td> <td></td> <td></td> <td>Surcharge- late filing fee or oath</td> <td></td> </tr> <tr> <td>127</td> <td>50</td> <td>227</td> <td>25</td> <td></td> <td></td> <td>Surcharge- late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>139</td> <td>130</td> <td>139</td> <td>130</td> <td></td> <td></td> <td>Non-English specification</td> <td></td> </tr> <tr> <td>147</td> <td>2,520</td> <td>147</td> <td>2,520</td> <td></td> <td></td> <td>For filing a request for reexamination</td> <td></td> </tr> <tr> <td>112</td> <td>920*</td> <td>112</td> <td>920*</td> <td></td> <td></td> <td>Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>113</td> <td>1,840*</td> <td>113</td> <td>1,840*</td> <td></td> <td></td> <td>Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>115</td> <td>110</td> <td>215</td> <td>55</td> <td></td> <td></td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>116</td> <td>390</td> <td>216</td> <td>195</td> <td></td> <td></td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>117</td> <td>890</td> <td>217</td> <td>445</td> <td></td> <td></td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>118</td> <td>1,390</td> <td>218</td> <td>695</td> <td></td> <td></td> <td>Extension for reply within forth month</td> <td></td> </tr> <tr> <td>128</td> <td>1,890</td> <td>228</td> <td>945</td> <td></td> <td></td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>119</td> <td>310</td> <td>219</td> <td>155</td> <td></td> <td></td> <td>Notice of Appeal</td> <td></td> </tr> <tr> <td>120</td> <td>310</td> <td>220</td> <td>155</td> <td></td> <td></td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>121</td> <td>270</td> <td>221</td> <td>135</td> <td></td> <td></td> <td>Requesting for oral hearing</td> <td></td> </tr> <tr> <td>138</td> <td>1,510</td> <td>138</td> <td>1,510</td> <td></td> <td></td> <td>Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>140</td> <td>110</td> <td>240</td> <td>55</td> <td></td> <td></td> <td>Petition to revive - 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unavoidable		141	1,240	241	620			Petition to revive - unintentional		142	1,240	242	620			Utility issue fee (or reissue)		143	440	243	220			Design issue fee		144	600	224	300			Plant issue fee		122	130	122	130			Petitions to the Commissioner		123	50	123	50			Petitions related to provisional applications		126	240	126	240			Submission of Information Disclosure Stmt		581	40	581	40			Recording each patent assignment per property (times number of properties)		146	710	246	355			Filing a submission after final rejection (37 CFR § 1.129(a))		149	710	249	355			For each additional invention to be examined (37 CFR § 1.129(b))		179	710	279	355			Request for Continued Examination (RCE)		Other fee (specify)								*Reduced by Basic Filing Fee Paid								SUBTOTAL (3)							(\$)
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SUBMITTED BY Name (Print/Type)		Registration No. (Attorney/Agent)		Telephone	
Stephen R. May		29,255		(509) 375-2387	
Signature				Date	
				April 11, 2001	

SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

EXPRESS MAIL MAILING LABEL #EJ200815160US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
CL Carrender, RW Gilbert,)
JW Scott, DA Clark)
For: SYSTEM AND METHOD FOR) Our Ref. No: E-1800
CONTROLLING REMOTE)
DEVICES) Date: June 5, 2000

CERTIFICATE OF MAILING

Box PATENT APPLICATION
Commissioner of Patents and Trademarks
Washington, DC 20231

Dear Sir:

The undersigned hereby certifies that the attached:

- ☒ [X] Patent Application of 13 pages, and
- ☒ [X] Drawings of 3 Sheets
- ☒ [X] Fee Transmittal (2 ea.)
- ☒ [X] Patent Transmittal
- ☒ [X] Assignment / Cvr. Sheet
- ☒ [X] Combined Declaration and Power of Attorney
- ☐ [] Information Disclosure
- ☐ [] with attachments

are being deposited with the United States Postal Service as:

- ☐ [] First Class Mail
- ☒ [X] Express Mail - Label #EJ200815160US in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the date set forth below.

Janice K. Laybourn
Signature

April 11, 2001
Date Deposited

Janice K. Laybourn K1-53
Intellectual Property Services
Battelle Memorial Institute
Pacific Northwest Laboratories
P.O. Box 999
Richland, WA 99352
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